# **Chace Community School**

# Exams policy 2025/26



This policy is reviewed annually to ensure compliance with current regulations

Approved/reviewed by			
Tanya Douglas/Carly Lynch			
Date of next review	September 2026		

# Key staff involved in the exams policy

Role	Name(s)
Head of Centre	Tanya Douglas
Exams officer line manager (Senior Leader)	Julie Marshall (Carly Lynch)
Exams officer	Julia Cousins
SENCo	Gamze Sahin
SLT member(s)	Krysia Sosin, Natalie Slade, Alex Greig, Carly Lynch Barbara Terziyski, Amanda Roper

# **Contents**

Key staff involved in the policy	2
Purpose of the policy	5
Roles and responsibilities overview	5
Head of centre declaration	6
Exam contingency plan	7
Internal appeals procedures	7
Disability policy	7
Complaints and appeals procedure	8
Child protection/safeguarding policy	8
Data protection policy	8
Access arrangements policy	9
The exam cycle	12
Planning: roles and responsibilities	12
Information sharing	12
Information gathering	12
Access arrangements	13
Word processor policy (exams)	13
Separate invigilation within the centre policy	14
Internal assessment and endorsements	15
Non-examination assessment policy	15
Invigilation	16
Entries: roles and responsibilities	16
Estimated entries	16
Estimated entries collection and submission procedure	17
Final entries	17
Final entries collection and submission procedure	17
Entry fees	17
Late entries	17
Re-sit entries	18
Private candidates	18
Candidate statements of entry	18
Pre-exams: roles and responsibilities	18
Access arrangements	18
Briefing candidates	18
Access to scripts, reviews of results and appeals procedures	19
Dispatch of exam scripts	20
Estimated grades	20
Internal assessment and endorsements	20
Invigilation	21
JCQ Centre Inspections	21
Seating and identifying candidates in exam rooms	21

verifying candidate identity procedure	21
Security of exam materials	22
Timetabling and rooming	22
Overnight supervision arrangements policy	24
Alternative site arrangements	23
Centre consortium arrangements	24
Transferred candidate arrangements	24
Internal exams	24
Exam time: roles and responsibilities	24
Access arrangements	24
Candidate absence	25
Candidate absence policy	25
Candidate behaviour	25
Candidate belongings	25
Candidate late arrival	25
Candidate late arrival policy	26
Conducting exams	26
Dispatch of exam scripts	26
Exam papers and materials	26
Exam rooms	27
Food and drink in exam rooms policy	27
Policy on candidates leaving the exam room temporarily	28
Emergency evacuation policy	28
Irregularities	29
Managing behaviour	30
Malpractice	29
Special consideration	30
Special consideration policy	30
Unauthorised materials	30
Arrangements for unauthorised materials taken into the exam room	30
Internal exams	30
Results and post-results: roles and responsibilities	31
Internal assessment	31
Managing results day(s)	31
Results day programme	31
Accessing results	31
Post-results services	31
Analysis of results	32
Certificates	32
Issue of certificates procedure	32
Retention of certificates policy	33
Exams review: roles and responsibilities	33

Retention of records: roles and responsibilities	33
Exams archiving policy	33
Appendix 1 - EXAMINATION PROCEDURES - who is responsible for what?	34

# Purpose of the policy

Chace Community School is committed to ensuring that the exams management and administration process is run effectively and efficiently and in compliance with the published JCQ regulations and awarding body requirements.

This exam policy will ensure that:

- all aspects of the centre's exam process is documented, supporting the exams contingency plan,
   and other relevant exams-related policies, procedures and plans are signposted to
- the workforce is well informed and supported
- all centre staff involved in the exams process clearly understand their roles and responsibilities
- all exams and assessments are conducted according to JCQ and awarding body regulations, guidance and instructions, thus maintaining the integrity and security of the exam/assessment system at all times
- exam candidates understand the exams process and what is expected of them

This policy is reviewed annually to ensure ways of working in the centre are accurately reflected and that exams and assessments are conducted to current JCQ (and awarding body) regulations, instructions and quidance.

This policy will be communicated to all relevant centre staff.

Staff have access to all policies on the school website and intranet.

Candidates are invited and directed to go to the school website, during the exams assemblies.

# Roles and responsibilities overview

The head of centre is the individual who is accountable to the awarding bodies for ensuring that the centre is compliant with the published JCQ regulations and awarding body requirements in order to ensure the security and integrity of the examinations/assessments at all times.

The examinations officer is the person appointed by the head of centre to act on behalf of, and be the main point of contact for, the centre in matters relating to the general administration of awarding body examinations and assessments.

The head of centre may not appoint themselves as the examinations officer. A head of centre and an examinations officer are two distinct and separate roles.

[GR 2]

#### Head of centre responsibilities

The head of centre is the individual who is accountable to the awarding bodies for ensuring that the centre is always compliant with the published JCQ regulations and awarding body requirements to ensure the security and integrity of the examinations/assessments. It is the responsibility of the head of centre to ensure that all staff comply with the instructions in this booklet. Failure to do so may constitute malpractice as defined in the JCQ publication Suspected Malpractice: Policies and Procedures, 1 September 2025 to 31 August 2026:

https://www.jcq.orq.uk/exams-office/malpractice (ICE Introduction)

#### **Head of centre**

- Understands the contents, refers to and directs relevant centre staff to annually updated JCQ publications including:
  - o General Regulations for Approved Centres (GR)
  - Instructions for Conducting Examinations (ICE)
  - Access Arrangements and Reasonable Adjustments (AA)
  - o Suspected Malpractice in Examinations and Assessments (SMEA)
  - <u>Instructions for conducting non-examination assessments</u> (NEA) (and the instructions for conducting coursework)
  - o A guide to the special consideration process (SC)
- Ensures the centre has appropriate accommodation to support the size of the cohorts being taught including appropriate accommodation for candidates requiring access arrangements and/or practical assessments
- Where/if using a third party to deliver any part of a qualification (including its assessments) at the centre:
  - o maintains oversight of, and responsibility for, the delivery of the qualification in accordance with JCQ regulations and awarding body requirements
  - has in place a written agreement with the third party to ensure there is a shared understanding of the arrangement and will manage the risk of failure by the third party to deliver the expected service
  - o ensures that a copy of the written agreement is available for inspection if requested by the awarding body
- Ensures that relevant members of staff respond promptly to actions raised by the JCQ Centre Inspection Service, understanding that failure to do so could result in the same penalties as listed in the previous bullet point
- Ensures that the centre promptly reports any incidents to the relevant awarding body/bodies which might compromise any aspect of assessment delivery such as a cyber-attack
- Ensures other relevant centre staff where they may be involved in the receipt and dispatch of confidential exam materials are briefed on the requirements for maintaining the integrity and confidentiality of the exam materials
- Ensures members of centre staff do not forward emails and letters from awarding body or JCQ personnel without prior consent to third parties or upload such correspondence onto social media sites and applications
- Ensures members of centre staff do not advise parents/candidates to contact awarding bodies/JCQ directly nor provide them with addresses/email addresses of awarding body examining/assessment personnel or JCQ personnel

# Recruitment, selection and training of staff

- Retains a workforce of an appropriate size and competence, including sufficient managerial and
  other resources, to undertake the delivery of the qualification as required by an awarding body.
  This includes taking reasonable steps to ensure occupational competence where this is required
  for the assessment of specific qualifications
- Provides fully qualified teachers to mark non-examination assessments, and/or fully qualified assessors for the verification of centre-assessed components
- Enables the relevant senior leader(s), the examinations officer (EO) and the ALS lead/SENCo to receive appropriate training and support in order to facilitate the effective delivery of

examinations and assessments within the centre, and ensure compliance with the published JCQ regulations

- Appoints an ALS lead/SENCo who will determine appropriate arrangements for candidates with learning difficulties and disabilities
- Ensures that the ALS lead/SENCo has sufficient time to both manage the access arrangements process within the centre and familiarise him/herself with the JCQ publication Access Arrangements and Reasonable Adjustments
- Ensures that the examinations officer is line managed and actively supported by a member of the senior leadership team who has a good working knowledge of the examination system

#### **Head of centre declaration**

A hard copy of the Head of Centre's declaration is kept in secure storage in the exam officer's office and saved on secure drive.

# **Internal governance arrangements**

• Has in place a written escalation process should the head of centre, or a member of the senior leadership team with oversight of examination administration, be absent

# **Escalation Process**

Escalation Process Policy can be found on the school website and/or in the Exams' Policy folder, in the Examinations Officer's office.

- Has in place a member of the senior leadership team who will provide support and guidance to the examinations officer and ensure that the integrity and security of examinations and assessments is maintained throughout an examination series
- Ensures centre staff undertake key tasks within the exams process and meet internal deadlines set by the EO
- Makes sure that a teacher, a tutor or a senior member of centre staff who teaches the subject being examined, is not an invigilator during the examination

# **Delivery of qualifications**

- Delivers qualifications, as required by the awarding body, in accordance with relevant equality legislation. This includes but is not limited to ensuring that qualifications are made available to all candidates capable of undertaking them and seeking reasonable adjustments for disabled candidates
- Enables candidates to receive sufficient and up to date laboratory experience, or relevant training where required by the subject concerned

#### **Public liability**

• Complies with local health and safety rules which are in place and that the centre is adequately covered for public liability claims

# Security of assessment materials

- Takes all reasonable steps to maintain the integrity of the examinations/assessments, including the security of all assessment materials, by ensuring:
  - the location of the centre's secure storage facility in a secure room which must only be used for the purpose of administering secure examination materials
  - o the secure room only contains exam-related material
  - o there are between two and six keyholders only, each of whom must fully understand their responsibilities as a key holder to the secure storage facility
  - access to the secure room and secure storage facility is restricted to the authorised two to six keyholders and staff approved by the head of centre are accompanied by a keyholder at all times
  - appropriate arrangements are in place to ensure that confidential examination materials are only handed over to authorised members of centre staff
  - o appropriate arrangements are in place for handling secure electronic materials
  - the relevant awarding body is immediately informed if the security of question papers or confidential supporting instructions is put at risk
  - that when it is permitted to remove question paper packets from secure storage, and to avoid potential breaches of security, arrangements are in place to carefully check and record that the correct question paper packets are opened

(If it is ever subsequently identified following this check that the wrong question paper packet has been opened, it will be resealed and the incident reported to the relevant awarding body's Malpractice Investigation Team immediately)

- Makes arrangements to receive, check and store question papers and examination material safely
  and securely at all times and for as long as required in accordance with the current JCQ publication
  Instructions for conducting examinations
- Makes arrangements to receive and issue material received from the awarding bodies to staff and candidates, and notify them of any advice and instructions relevant to the examinations and assessments
- Allows candidates access to relevant pre-release materials on, or as soon as possible after, the date specified by the awarding bodies

# Malpractice

- Through taking an ethical approach and working proactively to avoid malpractice among students and staff takes all reasonable steps to prevent the occurrence of any malpractice/maladministration before, during and after assessments have taken place
- Ensures any person involved in administering, teaching or completing examinations/assessments
  is advised that where malpractice is suspected, or alleged, personal data about them will be
  provided to the awarding body (or bodies) whose examinations/assessments are involved.
  Personal data about them may also be shared with other awarding bodies, the qualifications
  regulator or professional bodies in accordance with the JCQ publication Suspected Malpractice –
  Policies and Procedures
- Ensures irregularities are investigated and informs the awarding bodies immediately of any alleged, suspected or actual incidents of malpractice or maladministration, involving a candidate or a member of staff, by completing the appropriate documentation
- As required by an awarding body, ensures evidence of any instances of alleged or suspected
  malpractice (which includes maladministration) is gathered in accordance with the JCQ
  publication Suspected Malpractice Policies and Procedures and provides such information and
  advice as the awarding body may reasonably require

#### **Policies and Procedures**

# **Exam contingency plan**

The Exam contingency plan can be found in the policies folder.

 Ensures required internal appeals procedures are in place and drawn to the attention of candidates and (where relevant) their parents/carers

# Lockdown Policy (Exams)

Policy is available in the policies folder.

#### **Internal appeals procedures**

Policy is available in the policies folder.

 Ensures the centre's disability policy demonstrating the centre's compliance with relevant legislation is in place and details the processes followed in respect of identifying the need for, requesting and implementing access arrangements

#### **Equalities Policy**

Policy is available in the policies folder

#### **Disability policy**

Disability policy can be found on the school website.

 Ensures a complaints and appeals procedure covering general complaints regarding the centre's delivery or administration of a qualification is in place and drawn to the attention of candidates and their parents/carers

# Complaints and appeals procedure

Complaints and appeals procedure can be found in the policies folder and/or on the school website.

• Ensures the centre has a child protection/safeguarding policy in place, including Disclosure and Barring Service (DBS) clearance, which satisfies current legislative requirements

# Child protection/safeguarding policy

Child protection/safeguarding policy can be found in the policies folder and/or on the school website.

Ensures the centre has a data protection policy in place that complies with General Data Protection
 Regulation and Data Protection Act 2018 regulations

# **Data protection policy**

Data protection policy can be found in the policies folder and/or on the school website

 Ensures the centre has documented processes in place relating to access arrangements and reasonable adjustments

# **Access arrangements policy**

Access arrangement policy can be found on the school website

# Whistleblowing Policy (Exams)

Policy is available in the policies folder and/or on the school website

- Ensures the relevant awarding bodies are informed of any Conflict of Interest where
  - a member of centre staff is taking a qualification at the centre which includes internally assessed components/units (taking at the centre as a last resort where unable to find an alternative centre)
  - a candidate is being taught and prepared for a qualification which includes internally assessed components/units by a member of centre staff with a personal connection to the candidate
- Maintains records that confirm the measures taken/protocols in place to mitigate any potential risk to the integrity of the qualifications affected by the above, and where
  - a member of exams office staff have a personal connection to a candidate being entered for exams and assessments at the centre or at another centre
  - a member of centre staff is taking a qualification at the centre which does not include internally assessed components/units (taking at the centre as a last resort where unable to find an alternative centre)

- o a member of centre staff is taking a qualification at another centre
- Ensures other relevant centre staff where they may be involved in the receipt and dispatch of confidential exam materials are briefed on the requirements for maintaining the integrity and confidentiality of the exam materials
- Ensures members of centre staff do not forward emails and letters from awarding body or JCQ
  personnel without prior consent to third parties or upload such correspondence onto social media
  sites and applications
- Ensures members of centre staff do not advise parents/candidates to contact awarding bodies/JCQ directly nor provide them with addresses/email addresses of awarding body examining/assessment or JCQ personnel

# **National Centre Number Register**

- Provides contact details and an address to which all correspondence in connection with the administration of examinations and assessments can be directed which must be the registered address of the centre
- Ensures the National Centre Number Register annual update is responded to by the end of October
- Takes responsibility for confirming, on an annual basis, that they are both aware of and adhering
  to the latest version of the JCQ's regulations. This confirmation is managed as part of the National
  Centre Number Register (NCNR) annual update
- Understands that this responsibility cannot be delegated to a member of the senior leadership team or the examinations officer, and acknowledges that failure to respond to the NCNR annual update, and/or the head of centre's declaration, will result in:
  - o the centre status being suspended
  - o the centre not being able to submit examination entries
  - o the centre not receiving or being able to access question papers

and ultimately, awarding bodies could withdraw their approval of the centre

#### **Centre inspections**

- Co-operates with the JCQ Centre Inspection Service, an awarding body or a regulatory authority
  when subject to an inspection, an investigation or an unannounced visit, and takes all reasonable
  steps to comply with all requests for information or documentation made by an awarding body or
  regulatory authority as soon as is practical
- Allows all venues used for examinations and assessments, paperwork and secure storage facilities to be open to inspection
- Understands the JCQ Centre Inspector will identify him/herself with a photo ID card and must be
  accompanied throughout his/her tour of the premises, including inspection of the centre's secure
  storage facility

#### Exams officer

- Understands the contents of annually updated JCQ publications including:
  - o General Regulations for Approved Centres

- o <u>Instructions for Conducting Examinations</u>
- o Suspected Malpractice in Examinations and Assessments
- o <u>Post-results services</u> (PRS)
- Completes/submits the National Centre Number Register annual update (administered on behalf
  of the JCQ member awarding bodies by OCR <a href="https://ocr.org.uk/administration/ncn-annual-update/">https://ocr.org.uk/administration/ncn-annual-update/</a>) by the end of October each year
  - Confirms the details or informs the awarding bodies of any changes to the centre's contact details through the National Centre Number Register
  - Informs the National Centre Number Register Team immediately (e-mail address ncn@ocr.org.uk) if any changes occur after the National Centre Number Register annual update has taken place
  - (Where it may be applicable) Informs the National Centre Number Register Team no later than 6 weeks prior to moving to a new address or a re-location of the secure storage facility
  - o Informs the National Centre Number Register Team immediately of any other changes in circumstances that could affect the centre's status
- Is familiar with the contents of annually updated information from awarding bodies on administrative procedures, key tasks, key dates and deadlines
- Ensures key tasks are undertaken and key dates and deadlines met
- Recruits, trains and deploys a team of internal/external invigilators; appoints lead invigilators, as required and keeps a record of the content of training provided to invigilators for the required period
- Works with the SENCo to ensure invigilators supervising access arrangement candidates and those acting as a facilitator supporting access arrangement candidates fully understand the respective role and what is and what is not permissible in the exam room
- Supports the head of centre in ensuring that awarding bodies are informed of any Conflict of Interest declared by members of centre staff and in maintaining records that confirm the measures taken/protocols in place to mitigate any potential risk to the integrity of the qualifications affected before the published deadline for entries
- Briefs other relevant centre staff where they may be involved in the receipt and dispatch of confidential exam materials on the requirements for maintaining the integrity and confidentiality of the exam materials

#### Senior leaders (SLT)

- Are familiar with the contents, refer to and direct relevant centre staff to annually updated JCQ publications including:
  - o General Regulations for Approved Centres
  - o <u>Instructions for Conducting Examinations</u>
  - o Access Arrangements and Reasonable Adjustments
  - o Suspected Malpractice in Examinations and Assessments
  - Instructions for conducting non-examination assessments (and the instructions for conducting coursework)

# o A quide to the special consideration process

- Ensure teaching staff undertake key tasks, as detailed in this policy, within the exams process (exam cycle) and meet internal deadlines set by the EO and ALS lead/SENCo
- Ensure teaching staff keep themselves updated with awarding body subject and teacher-specific information to confirm effective delivery of qualifications
- Ensure teaching staff attend relevant awarding body training and update events

# Additional Learning Support (ALS) lead/Special educational needs co-ordinator (SENCo)

- Understands the contents, refers to and directs relevant centre staff to annually updated JCQ publications including:
  - o Access Arrangements and Reasonable Adjustments
- Leads on the access arrangements and reasonable adjustments process (referred to in this policy as 'access arrangements')
- If not the qualified access arrangements assessor, works with the person appointed, on all matters relating to assessing candidates and ensures the correct procedures are followed
- Presents when requested by a JCQ Centre Inspector, evidence of the assessor's qualification

# **Subject Leader**

- Ensures teaching staff undertake key tasks, as detailed in this policy, within the exams process (exam cycle) and meet internal deadlines set by the EO and SENCo
- Ensures teaching staff keep themselves updated with awarding body subject and teacher-specific information to confirm effective delivery of qualifications
- Ensures teaching staff attend relevant awarding body training and update events

#### **Teaching staff**

- Undertake key tasks, as detailed in this policy, within the exams process and meet internal deadlines set by the EO and SENCo
- Keep updated with awarding body subject and teacher-specific information to confirm effective delivery of qualifications
- Attend relevant awarding body training and update events

# **Invigilators**

- Attend/undertake annual training, update(on the current regulations), briefing and review sessions as required
- Provide information as requested on their availability to invigilate
- Sign a confidentiality and security agreement and confirm whether they have any current maladministration/malpractice sanctions applied to them

# **Reception staff**

 Support the EO in the receipt and dispatch of confidential exam materials and follow the requirements for maintaining the integrity and confidentiality of the exam materials

#### Site staff

Support the EO in relevant matters relating to exam rooms and resources

#### **Candidates**

Where applicable in this policy, the term 'candidates' refers to candidates and/or their parents/carers.

# The exam cycle

The exams management and administration process that needs to be undertaken for each **exam series** is often referred to as the **exam cycle** and relevant tasks required within this grouped into the following stages:

- planning
- entries
- pre-exams
- exam time
- results and post-results

This policy identifies roles and responsibilities of centre staff within this cycle.

# Planning: roles and responsibilities

# Information sharing

#### **Head of centre**

Directs relevant centre staff to annually updated JCQ publications including <u>GR</u>, <u>ICE</u>, <u>AA</u>, <u>SMEA</u>,
 <u>NEA</u> (and the instructions for conducting coursework) and <u>SC</u>

#### Exams officer

- Signposts relevant centre staff to JCQ publications and awarding body documentation relating to the exams process that have been updated
- Signposts relevant centre staff to JCQ information that should be provided to candidates
- As the centre administrator, approves relevant access rights for centre staff to access awarding body secure extranet sites

# **Information gathering**

## **Exams officer**

- Undertakes an annual information gathering exercise in preparation for each new academic year to ensure data about all qualifications being delivered is up to date and correct
- Collates all information gathered into one central point of reference
- Researches awarding body guidance to identify administrative processes, key tasks, key dates and deadlines for all relevant qualifications
- Produces an annual exams plan of key tasks and key dates to ensure all external deadlines can be
  effectively met; informs key centre staff of internal deadlines
- Collects information on internal exams to enable preparation for and conduct of NEAs

#### **Head of department**

- Responds (or ensures teaching staff respond) to requests from the EO on information gathering
- Meets the internal deadline for the return of information
- Informs the EO of any changes to information in a timely manner minimising the risk of late or other penalty fees being incurred by an awarding body
- Notes the internal deadlines in the annual exams plan and directs teaching staff to meet these

#### Access arrangements

#### **Head of centre**

• Ensures there is appropriate accommodation for candidates requiring access arrangements in the centre for all examinations and assessments

- Ensures a written process is in place to not only check the qualification(s) of the appointed assessor(s) but that the correct procedures are followed as per Chapter 7 of the JCQ publication <u>Access Arrangements and Reasonable Adjustments</u>
- Ensures the SENCo is fully supported in effectively implementing access arrangements and reasonable adjustments once approved

#### ALS Lead/SENCo

- Assesses candidates (or works with the appropriately qualified assessor as appointed by the head
  of centre) to identify access arrangements requirements
- Gathers evidence to support the need for access arrangements for a candidate
- Liaises with teaching staff to gather evidence of normal way of working of an affected candidate
- Determines candidate eligibility for arrangements or adjustments that are centre-delegated
- Gathers signed Personal data consent forms (candidate personal data consent form) from candidates where required and ensures Data protection confirmation(s) by the examinations officer or SENCo are completed
- Applies for approval through Access arrangements online (AAO) via the Centre Admin Portal (CAP), where required or through the awarding body where qualifications sit outside the scope of AAO
- Keeps a file for each candidate for JCQ inspection purposes containing all the required documentation (if any documentation is kept electronically, in the event of IT failure at the time of an inspection is able to access this documentation in an alternative format)
- Employs good practice in relation to the Equality Act 2010
- Liaises with the EO regarding exam time arrangements for access arrangement candidates
- Ensures staff appointed to facilitate access arrangements for candidates are appropriately trained
  and understand the rules of the particular arrangement(s) and keeps a record of the content of
  training provided to facilitators for the required period
- Works with the EO to ensure invigilators and those acting as a facilitator fully understand the respective role and what is and what is not permissible in the exam room
- Provides and annually reviews a centre policy on the use of word processors in exams and assessments

#### Word processor policy (exams)

Word processor policy (exams) can be found under exams on school website

 Ensures criteria for candidates granted separate invigilation within the centre is clear, meets JCQ regulations and best meets the needs of individual candidates and remaining candidates in main exam rooms

#### Separate invigilation within the centre policy

In the case of separate invigilation, the candidate's difficulties are established within the centre (see Chapter 4, paragraph 4.1.4, page 16) and known to a Form Tutor, a Head of Year, the SENCo or a senior member of staff with pastoral responsibilities.

Separate invigilation reflects the candidate's normal way of working in internal school tests and mock examinations as a consequence of a long term medical condition or long term social, mental or emotional needs.

[AA 5.16]

A candidate may only take their examinations under separate invigilation within the centre where he/she has <u>an established difficulty</u> - see section 5.16 of the JCQ publication Access Arrangements and Reasonable Adjustments... [ICE 14]

# Why have a policy on this?

In certain circumstances, a candidate with 'an established difficulty' may be eligible to take exams under separate invigilation. Centres may also receive requests from

candidates (and/or parents/carers) to take their exams under separate invigilation (in a separate room with 1:1 invigilation). Having a documented policy ensures:

- the criteria for candidates granted separate invigilation within the centre is clear and complies with JCQ regulations
- the centre can demonstrate the policy if asked/challenged by a candidate (and/or parent/carer)

# Senior Leaders, Head of department, Teaching staff

- Support the SENCo in determining and implementing appropriate access arrangements
- Provide a statement for inspection purposes which details the criteria the centre uses to award and allocate word processors for examinations

# Internal assessment and endorsements

#### **Head of centre**

- Provides fully qualified teachers to mark non-examination assessments
- Ensures an internal appeals procedure relating to internal assessment decisions is in place for a
  candidate to appeal against and request a review of the centre's marking (see Roles and
  responsibilities overview)
- Ensures a non-examination assessment policy is in place for GCE and GCSE qualifications
  which include components of non-examination assessment (For CCEA GCSE centres this would
  be a controlled assessment policy)

# Non-examination assessment policy

Non-examination assessment policy can be found on the school website under exams and/or the Exams Policies folder

 Ensures any irregularities relating to the production of work by candidates are investigated and dealt with internally if discovered prior to a candidate signing the authentication statement (where required) or reported to the awarding body if a candidate has signed the authentication statement

#### Senior leaders

- Ensure teaching staff have the necessary and appropriate knowledge, understanding, skills, and training to set tasks, conduct task taking, and to assess, mark and authenticate candidates' work (including where relevant, private candidates)
- Ensure appropriate internal moderation, standardisation and verification processes are in place
- Ensure teaching staff delivering AQA Applied General qualifications, OCR Cambridge Nationals, Entry Level Certificate or Project qualifications (and CCEA GCE unitised AS and A-level qualifications) follow JCQ <u>Instructions for conducting coursework</u> and the specification provided by the awarding body
- Ensure teaching staff delivering reformed GCE & GCSE specifications (which include components
  of non-examination assessment) follow JCQ <u>Instructions for conducting non-examination</u>
   assessments and the specification provided by the awarding body
- For other qualifications, ensure teaching staff follow appropriate instructions issued by the awarding body
- Ensure teaching staff inform candidates of their centre assessed marks as a candidate may request a review of the centre's marking before marks are submitted to the awarding body

#### **Head of department**

- Ensures teaching staff delivering any remaining legacy GCE unitised AS and A-level qualifications (which include elements of coursework), AQA Applied General qualifications, OCR Cambridge Nationals, Entry Level Certificate or Project qualifications follow JCQ <u>Instructions for conducting</u> <u>coursework</u> and the specification provided by the awarding body
- Ensures teaching staff delivering GCE & GCSE specifications (which include components of nonexamination assessment) follow JCQ <u>Instructions for conducting non-examination assessments</u> and the specification provided by the awarding body
- For other qualifications, ensures teaching staff follow appropriate instructions issued by the awarding body
- Ensures teaching staff inform candidates of their centre assessed marks as a candidate may request a review of the centre's marking before marks are submitted to the awarding body

# **Teaching staff**

- Ensure appropriate instructions for conducting internal assessment are followed
- Ensure candidates are aware of JCQ and awarding body information for candidates on producing work that is internally assessed (coursework, non-examination assessments, social media) prior to assessments taking place
- Ensure candidates are informed of their centre assessed marks as a candidate may request a review of the centre's marking before marks are submitted to the awarding body

#### **Exams officer**

- Identifies relevant key dates and administrative processes that need to be followed in relation to internal assessment
- Signposts teaching staff to relevant JCQ information for candidates documents that are annually updated

# <u>Invigilation</u>

#### **Head of centre**

- Ensures relevant support is provided to the EO in recruiting, training and deploying a team of invigilators
- Ensures, if contracting supply staff to act as invigilators that such persons are competent and fully trained, understanding what is and what is not permissible (and not taking on its own an assurance from a recruitment agency, that this is the case)
- Determines if additional invigilators will be deployed in timed Art exams in addition to the subject teacher to ensure the supervision of candidates is maintained at all times

#### **Exams officer**

- Recruits additional invigilators where required to effectively cover all exam periods/series' throughout the academic year
- Collects information on new recruits to identify if they have invigilated previously and if any current maladministration/malpractice sanctions are applied to them
- Provides training for new invigilators on the current instructions for conducting examinations and
  an annual update for the existing invigilation team so that they are aware of any changes in a new
  academic year before they are allocated to invigilate an exam
- Ensures invigilators supervising access arrangement candidates understand their role (and the
  role of a facilitator who may be supporting a candidate) and the rules and regulations of the access
  arrangement(s)
- Ensures invigilators are briefed on the access arrangement candidates in their exam room (and that these candidates are identified on the seating plan) and confirms invigilators understand what is and what is not permissible

Collects evaluation of training to inform future events

#### **Entries: roles and responsibilities**

#### **Estimated entries**

#### **Exams officer**

 Requests estimated or early entry information, where this may be required by awarding bodies, from Subject Leaders in a timely manner to ensure awarding body external deadlines for submission can be met

# Estimated entries collection and submission procedure

Exam officer requests information from Heads of Departments

 Makes candidates aware of the JCQ Information for candidates – Privacy Notice at the start of a vocational qualification or when entries are being processed for a general qualification

# **Head of department**

- Provides entry information requested by the EO to the internal deadline
- Informs the EO immediately of any subsequent changes to entry information

#### Final entries

#### Exams officer

- Requests final entry information from Subject Leaders in a timely manner to ensure awarding body external deadlines for submission can be met
- Informs Subject Leaders of subsequent deadlines for making changes to final entry information without charge
- Confirms with Subject Leaders final entry information that has been submitted to awarding bodies
- Ensures as far as possible that entry processes minimise the risk of entries or registrations being missed reducing the potential for late or other penalty fees being charged by awarding bodies

# Final entries collection and submission procedure

Exams officer requests information from subject teachers. Exams officer inputs entries on Arbor and sign off hard copies with the exams officer.

#### **Head of department**

- Provides information requested by the EO to the internal deadline
- Informs the EO immediately, or at the very least prior to the deadlines, of any subsequent changes to final entry information, which includes
  - o changes to candidate personal details
  - o amendments to existing entries
  - withdrawals of existing entries
- Checks final entry submission information provided by the EO and confirms information is correct

# **Entry fees**

GCSE, BTEC, VTCT and A-level initial registration and exam fees are paid by the centre.

Reimbursement will be sought from candidates who fail to sit an exam or meet the necessary coursework requirements.

#### Late entries

#### **Exams officer**

- Has clear entry procedures in place to minimise the risk of late entries
- Charges any late or other penalty fees to departmental budgets

# **Head of department**

- Minimises the risk of late entries by
  - o following procedures identified by the EO in relation to making final entries on time
  - o meeting internal deadlines identified by the EO for making final entries

# Re-sit entries

Re-sit entries are paid by parents/candidates other than in exceptional circumstances authorised by the Head of Centre.

#### Private candidates

The school does not accept private candidates.

# Candidate statements of entry

#### Exams officer

Provides candidates with statements of entry for checking

#### Teaching staff

• Ensure candidates check statements of entry and return any relevant confirmation required to the EO

#### **Candidates**

Confirm entry information is correct or notify the EO of any discrepancies

#### Pre-exams: roles and responsibilities

# Access arrangements

# **SENCo**

- Ensures appropriate arrangements, adjustments and adaptations are in place to facilitate access
  to exams/assessments for candidates where they are disabled within the meaning of the Equality
  Act (unless a temporary emergency arrangement is required at the time of an exam)
- Ensures a candidate is involved in any decisions about arrangements, adjustments and /or adaptations that may be put in place for him/her
- Ensures exam information (JCQ information for candidates information, individual exam timetable etc.) is adapted where this may be required for a disabled candidate to access it
- Allocates appropriately trained centre staff to facilitate access arrangements for candidates in exams and assessments (ensuring that the facilitator appointed meets JCQ requirements and fully understands the rule of the particular access arrangement)
- Where relevant, ensures the necessary and appropriate steps are undertaken to gather an
  appropriate picture of need and demonstrate normal way of working for a private candidate
  (including distance learners and home educated candidates) and that the candidate is assessed
  by the centre's appointed assessor

# **Briefing candidates**

#### Exams officer

- Issues individual exam timetable information to candidates and informs candidates of any contingency day awarding bodies may identify in the event of national or local disruption to exams
- Prior to exams issues relevant ICQ information for candidates documents
- Where relevant, issues relevant awarding body information to candidates
- Issues centre exam information to candidates including information on:
  - o exam timetable clashes
  - o arriving late for an exam
  - o absence or illness during exams
  - o what equipment is/is not provided by the centre
  - o food and drink in exam rooms
  - wrist watches in exam rooms
  - o when and how results will be issued and the staff that will be available
  - the post-results services information and how the centre will deal with requests from candidates
  - o when and how certificates will be issued

# Access to scripts, reviews of results and appeals procedures

Chace Community charges £5 per script, unit or component in concept of Administrative fees and the pertinent authorization must be filled in time and form.

The EO will provide this via email to the Subject Leaders and the student, where applicable.

An extra £5 fee might be charged per script, unit of component in the concept of printing, should this be found necessary to produce.

Where applicable, if the student has been unenrolled, then the former pupil will come to collect a printed version from the school's reception office. Please note, we do not offer a postal service for this.

# **Dispatch of exam scripts**

#### Exams officer

Identifies and confirms arrangements for the dispatch of candidate exam scripts with the DfE (STA)
 'yellow label service' or the awarding body where qualifications sit outside the scope of the
 service

# Estimated grades

#### **Head of department**

• Ensures teaching staff provide estimated grade information to the EO by the internal deadline (where this still may be required by the awarding body)

# **Exams officer**

- Submits estimated grade information to awarding bodies to meet the external deadline (where this may still be required by the awarding body)
- Keeps a record to track what has been sent

#### **Internal assessment and endorsements**

#### Head of centre

 Ensures procedures are in place for candidates to appeal internal assessment decisions and make requests for reviews of marking

#### **SENCo**

• Liaises with teaching staff to implement appropriate access arrangements for candidates undertaking internal assessments and practical endorsements

# **Teaching staff**

- Support the SENCo in implementing appropriate access arrangements for candidates undertaking internal assessments and practical endorsements
- Assess and authenticate candidates' work
- Assess endorsed components
- Ensure candidates are informed of centre assessed marks prior to marks being submitted to awarding bodies

# **Head of department**

- Ensures teaching staff assess and authenticate candidates' work to the awarding body requirements
- Ensures teaching staff assess endorsed components according to awarding body requirements
- Ensures teaching staff provide marks for internally assessed components and grades for endorsements of qualifications to the EO to the internal deadline
- Ensures teaching staff provide required samples of work for moderation and sample recordings for monitoring to the EO to the internal deadline

#### **Exams officer**

- Submits marks, endorsement grades and samples to awarding bodies/moderators/monitors to meet the external deadline
- · Keeps a record to track what has been sent
- Logs moderated samples returned to the centre
- Ensures teaching staff are aware of the requirements in terms of retention and subsequent disposal
  of candidates' work

#### **Candidates**

Authenticate their work as required by the awarding body

#### **Invigilation**

#### Exams officer

- Provides regularly reviewed/updated invigilator handbook to invigilators, trains new invigilators on the current regulations on appointment and updates experienced invigilators.
- Deploys invigilators effectively to exam rooms throughout an exam series (including the provision
  of a roving invigilator where a candidate and invigilator [acting as a practical assistant, reader or
  scribe] are accommodated on a 1:1 basis to enter the room at regular intervals in order to observe
  the conducting of the exam, ensuring all relevant rules are being adhered to and supporting the
  practical assistant/reader and/or scribe in maintaining the integrity of the exam)
- Allocates invigilators to exam rooms (or where supervising candidates due to a timetable clash) according to the required ratios
- Liaises with the SENCo regarding the facilitation and invigilation of access arrangement candidates

#### **SENCo**

Liaises with the EO regarding facilitation and invigilation of access arrangement candidates

#### **Invigilators**

Provide information as requested on their availability to invigilate throughout an exam series

# **JCQ Centre Inspections**

#### Exams officer or Senior leader

Will accompany the Inspector throughout a visit

# **SENCo** or relevant **Senior leader** (in the absence of the SENCo)

- Will meet with the inspector when requested to provide documentary evidence regarding access arrangement candidates and address any questions the inspector may raise
- Ensures that information is readily available for inspection at the venue where the candidate is taking the exam(s)

# <u>Seating and identifying candidates in exam rooms</u>

#### **Exams officer**

• Ensures a procedure is in place to verify candidate identity including private candidates

# Verifying candidate identity procedure

The school does not accept private candidates and internal candidates are identified via photocard on the exam desk.

- Ensures invigilators are aware of the procedure
- Provides seating plans for exam rooms according to JCQ and awarding body requirements (and ensures candidates with access arrangements are identified on the seating plan)

# **Invigilators**

- Follow the procedure for verifying candidate identity provided by the EO
- Seat candidates in exam rooms as instructed by the EO/on the seating plan

#### Security of exam materials

#### Exams officer

- Confirms appropriate arrangements are in place to ensure that confidential materials are only
  delivered to those authorised by the head of centre.
- Ensures access to the secure room is restricted and staff approved by the head of centre are
  accompanied by a keyholder at all times. There must be between two and six keyholders only,
  each of whom must fully understand their responsibilities as a key holder to the secure storage
  facility
- Has a process in place to demonstrate the receipt, secure movement and secure storage of confidential exam materials within the centre
- Ensures a log is kept at the initial point of delivery recording confidential materials received and signed for by authorised staff within the centre and that appropriate arrangements are in place for confidential materials to be immediately transferred to the secure storage facility until they can be removed from the dispatch packaging and checked in the secure room before being returned to the secure storage facility in timetable order
- Carefully checks question paper packets when they are removed from the dispatch packing and keeps a log of the check
- Ensures the secure storage facility contains only current and live confidential material

 Ensures that examination stationery, e.g. answer booklets and formula booklets are stored in the secure room (attempting to store this material in the secure storage facility, when sufficient space allows)

# **Reception staff**

• Follow the process to log confidential materials delivered to/received by the centre to the point materials are issued to authorised staff for transferral to the secure storage facility

# Teaching staff

 Adhere to the process to record the secure movement of confidential materials taken from or returned to secure storage throughout the time the material is confidential

# Timetabling and rooming

#### **Exams officer**

- Produces a master centre exam timetable for each exam series
- Identifies and resolves candidate exam timetable clashes according to the regulations (only
  applying overnight supervision as a last resort, once all other options have been exhausted and
  according to the centre's policy)

# Overnight supervision arrangements policy

Overnight supervision arrangements should only be applied as a last resort and once all other options have been exhausted. 8.1 When candidates are entered for multiple examinations (three or more examinations) timetabled for the same day and the total duration for those papers is: a) more than six hours for GCE examinations (AS, A2, A-level), including approved extra time allowances and/or supervised rest breaks†; or b) more than five and a half hours for GCSE examinations, including approved extra time allowances and/or supervised rest breaks<sup>†</sup>; candidates may, at the centre's discretion, be allowed to take an examination the following morning, including Saturdays (see paragraph 8.5, page 14). Candidates are not allowed to take examinations on an earlier day than that scheduled on the timetable. †Where reference is made to supervised rest breaks, this is a supervised rest break as defined in section 5.1 of the JCQ publication Access Arrangements and Reasonable Adjustments. 8.2 Where a candidate is allowed to take an examination the following morning, the centre must appoint a member of centre staff or an invigilator to supervise the candidate at all times while he/she is on the premises sitting examinations. The candidate must be under centre supervision from 30 minutes after the awarding body's published starting time for the delayed examination. The centre must ensure there is no contact with other candidates. The supervision of a candidate on journeys to and from the centre and overnight may be undertaken by the candidate's parent/carer or centre staff. The centre must determine a method of supervision which ensures the candidate's well being. 8.3 The overnight supervision arrangements must ensure that the candidate does not have advance warning of the content of the examination deferred until the following morning. This means the candidate must not meet or communicate with anyone who may have knowledge of the content. This includes any form of electronic communication/storage device, e.g. telephone (both landline and mobile), e-mail, Internet and social media. It also extends to television and radio, which could report key details of the day's examinations. The head of centre must be satisfied of any arrangement for overnight supervision of a candidate where necessary and accepts full responsibility for the security of the examination throughout.

# Why have a policy on this?

Allowing a candidate to take an exam paper the following morning due to an eligible overnight supervision arrangement is at the discretion of the centre. Having a documented policy ensures:

- the correct procedure is followed
- appropriate arrangements are put in place
- candidates (and/or parents/carers) understand when, or indeed if, appropriate arrangements can/will be made
- the centre can demonstrate the policy if asked/challenged by a candidate (and/or parent/carer)
- Identifies exam rooms and specialist equipment requirements
- Allocates invigilators to exam rooms (or where supervising candidates due to an exam timetable clash) according to required ratios
- Liaises with site staff to ensure exam rooms are set up according to JCQ and awarding body requirements
- Liaises with the SENCo regarding rooming of access arrangement candidates

#### **SENCo**

- Liaises with the EO regarding rooming of access arrangement candidates
- Liaises with other relevant centre staff to ensure appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams

# Site staff

 Liaise with the EO to ensure exam rooms are set up according to JCQ and awarding body requirements

# <u>Alternative site arrangements</u>

#### Exams officer

- Ensures question papers will only be taken to an alternative site where the published criteria for an alternative site arrangement has been met
- Will inform the JCQ Centre Inspection Service to timescale by submitting a JCQ Alternative Site
  arrangement notification through CAP (or through the awarding body where a qualification may
  sit outside the scope of CAP) of any alternative sites that will be used to conduct timetabled
  examination components of the qualifications listed in the JCQ regulations

# **Centre consortium arrangements**

# **Exams officer**

 Processes applications for Centre Consortium arrangements through CAP to the awarding body deadline (or through the awarding body where a qualification may sit outside the scope of CAP)

# **Head of department**

• Informs the EO of any joint teaching arrangements in place and where the centre is acting as the consortium coordinator

#### **Transferred candidate arrangements**

#### Exams officer

- Liaises with the host or entering centre, as required
- Processes requests for Transferred Candidate arrangements through CAP to the awarding body deadline (or through the awarding body where a qualification may sit outside the scope of CAP)
- Where relevant (for an internal candidate) informs the candidate of the arrangements that have been made for their transferred candidate arrangements

#### Internal exams

#### **Exams officer**

- Prepares for the conduct of internal exams under external conditions
- Provides a centre exam timetable of subjects and rooms
- Provides seating plans for exam rooms
- Requests internal exam papers from teaching staff
- Arranges invigilation

#### **SENCo**

• Liaises with teaching staff to make appropriate arrangements for access arrangement candidates

#### **Teaching staff**

- Provide exam papers and materials to Reprographics and/or EO.
- Support the SENCo in making appropriate arrangements for access arrangement candidates

# **Exam time: roles and responsibilities**

#### Access arrangements

#### **SENCo**

- Provides cover sheets for access arrangement candidates' scripts where required for particular arrangements
- Has a process in place to deal with emergency access arrangements as they arise at the time of
  - o applies for approval through AAO where required or through the awarding body where qualifications sit outside the scope of AAO

#### Candidate absence

If a candidate is identified as absent the exams officer or SLT will contact the
attendance officer by telephone or person and find out where the candidate
is. Where possible, the school will help the candidate to its best ability in
order for them to avoid being absent during the examination

# **Invigilators**

- Are informed of the policy/process for dealing with absent candidates through training
- Ensure that confirmed absent candidates are clearly marked as such on the attendance register and seating plan

#### **Candidates**

Are re-charged relevant entry fees for unauthorised absence from exams

#### Candidate behaviour

See Irregularities below.

#### **Candidate belongings**

See Unauthorised materials below.

#### Candidate late arrival

#### **Exams officer**

- Ensures that candidates who arrive very late for an exam are reported to the awarding body by submitting a report on candidate admitted very late to examination room through CAP to timescale
- Warns candidates that their script may not be accepted by the awarding body

# **Invigilators**

- Are informed of the policy/process for dealing with late/very late arrival candidates through training
- Ensure that relevant information is recorded on the exam room incident log

#### **Candidate late arrival**

A candidate who arrives after the start of the examination may be allowed to enter the examination room and sit the examination. This is entirely at the discretion of the centre. 21.2 A candidate who arrives late, and is permitted by the centre to sit the examination, must be allowed the full time for the examination, 21.3 A candidate will be considered very late if he/she arrives: a) more than one hour after the awarding body's published starting time for an examination which lasts one hour or more, i.e. after 10.00am for a morning examination or after 2.30pm for an afternoon examination. b) after the awarding body's published finishing time for an examination that lasts less than one hour. 21.4 Where a candidate arrives very late for an examination you must: a) send the script to the awarding body/examiner in the normal way; b) submit on-line, using the Centre Admin Portal (CAP), Form JCQ/VLA-Report on candidate admitted very late to examination room within seven days of the examination having taken place. You must provide the following information: o the reason the candidate arrived late, including any details of special arrangements made for the candidate to reach the centre; o the actual starting and finishing times of the examination; o the time the candidate started the examination; o the time the candidate finished the examination. c) warn the candidate that the awarding body may not accept their script. 21.5 If a candidate arrives in the afternoon for a paper that had been re-arranged for a morning session, the candidate may be allowed to take the paper at the published time as long as he or she has not had any contact with candidates who sat the paper earlier. The awarding body, who must be informed of the situation, will decide whether or not to accept the script.

# **Conducting exams**

# **Head of centre**

• Ensures venues used for conducting exams meet the requirements of JCQ and awarding bodies

#### Exams officer

Ensures exams are conducted according to JCQ and awarding body instructions

# Dispatch of exam scripts

#### Exams officer

- Dispatches scripts as instructed by JCQ and awarding bodies
- Keeps appropriate records to track dispatch

#### **Exam papers and materials**

#### **Exams officer**

- Organises exam question papers and associated confidential resources in date order in the secure storage facility
- Attaches erratum notices received to relevant exam question paper packets
- Collates attendance registers and examiner details in date order
- Regularly checks mail or email inbox for updates from awarding bodies
- In order to avoid potential breaches of security, ensures prior to question paper packets being opened that another member of staff or an invigilator checks the day, date, time, subject, unit/component and tier of entry if appropriate, immediately before a question paper packet is opened
- Ensures this additional/second check (which takes place in the secure room if a question paper packet has to be split or in the designated exam room if not) is recorded
- Where allowed by the awarding body, only releases exam papers and materials to teaching departments for teaching and learning purposes after the published finishing time of the exam, or until any timetable clash candidates have completed the exam

#### Exam rooms

#### Head of centre

- Ensures that internal tests, mock exams, revision or coaching sessions are not conducted in a room 'designated' as an exam room
- Ensures that when a room is 'designated' as an exam room it is not used for any purpose other than conducting external exams
- Ensures only authorised centre staff are present in exam rooms
- Ensures the centre's policy relating to food and drink that may be allowed in exam rooms is clearly communicated to candidates
- Ensures the centre's policy on candidates leaving the exam room temporarily is clearly communicated to candidates

#### Food and drink in exam rooms policy

- Only water in a clear bottle with all labels removed is allowed in the exam room.
- Food only under <u>strict medical order</u> and in a transparent pack/packaging with all labels removed.

#### Policy on candidates leaving the exam room temporarily

Only Candidates with a toilette or medical pass are allowed to leave the examination room temporarily and must be accompanied by an invigilator, this, without compromising the legal ratio in the examination room. If that was to happen then the lead invigilator will contact the EO who will escort the candidate to Medical or a designated toilette. Those candidates may or may not be allowed extra time at the discretion of the centre to compensate for their temporary

absence. Candidates are informed that they are under examination conditions while in transit to Medical or toilette.

#### **Exams officer**

- Ensures exam rooms are set up and conducted as required in the regulations
- Provides invigilators with appropriate resources to effectively conduct exams
- Briefs invigilators on exams to be conducted on a session by session basis (including the arrangements in place for any transferred candidates and access arrangement candidates)
- Ensures sole invigilators have an appropriate means of summoning assistance (if this is a mobile
  phone, instructs the invigilator that the mobile phone is only allowed to be used for this specific
  purpose and that it must be kept on silent mode)
- Ensures invigilators understand they must be vigilant and remain aware of incidents or emerging situations, looking out for malpractice or candidates who may be in distress, recording any incidents or issues on the exam room incident log
- Ensures invigilators understand how to deal with candidates who may need to leave the exam room temporarily and how this should be recorded on the exam room incident log
- Provides authorised exam materials which candidates are not expected to provide themselves
- Ensures invigilators and candidates are aware of the emergency evacuation procedure
- Ensures invigilators are aware of arrangements in place for a candidate with a disability who may need assistance if an exam room is evacuated

#### Senior leaders

- Ensure a documented emergency evacuation procedure for exam rooms is in place
- Ensure arrangements are in place for a candidate with a disability who may need assistance if an exam room is evacuated

#### **Emergency evacuation policy**

Emergency evacuation policy can be found on the school website under exams and in the Exams Policy folder

#### Site staff

- Ensure exam rooms are available and set up as requested by the EO
- Ensure grounds or centre maintenance work does not disturb exam candidates in exam rooms
- Ensure fire alarm testing does not take place during exam sessions

#### **Invigilators**

 Conduct exams in every exam room according to JCQ Instructions for conducting examinations and/or awarding body requirements and as instructed by the centre in training/update and briefing sessions

#### **Candidates**

- Are required to follow the instructions given to them in exam rooms by authorised centre staff and invigilators
- Are required to remain in the exam room for the full duration of the exam

# **Irregularities**

#### **Head of centre**

 Ensures any cases of alleged, suspected or actual incidents of malpractice or maladministration before, during or after examinations/assessments (by centre staff, candidates, invigilators) are investigated and reported to the awarding body immediately, by completing the appropriate documentation

# Managing behaviour and/or Malpractice in exam rooms procedure

Where a candidate is being disruptive, the invigilator must warn the candidate that he/she may be removed from the examination room. The candidate must also be warned that the awarding body will be informed and may decide to penalise them, which could include disqualification.

The head of centre must report to the awarding body immediately all cases of suspected or actual malpractice in connection with the examination.

The head of centre has the authority to remove a candidate from the examination room

Where candidates commit malpractice, the awarding body may decide to penalise them, which could include disqualification. Candidates should be warned of the possible penalties an awarding body may apply as per the JCQ publication Suspected Malpractice in Examinations and Assessments: Policies and Procedures, 1 September 2025 to 31 August 2026 - http://www.jcq.org.uk/exams-office/malpractice

#### Senior leaders

- Ensure support is provided for the EO and invigilators when dealing with disruptive candidates in exam rooms
- Ensure that internal disciplinary procedures relating to candidate behaviour are instigated, when appropriate

# Exams officer

- Provides an exam room incident log in all exam rooms for recording any incidents or irregularities
- Actions any required follow-up and reports to awarding bodies as soon as practically possible after the exam has taken place

#### **Invigilators**

Record any incidents or irregularities on the exam room incident log (for example, late/very late
arrival, candidate or centre staff suspected malpractice, candidate illness or needing to leave the
exam room temporarily, disruption or disturbance in the exam room, emergency evacuation)

#### Special consideration

#### Exams officer

- Processes appropriate requests for special consideration to awarding bodies
- Gathers evidence which may need to be provided by other staff in centre or candidates
- Submits requests to awarding bodies to the external deadline

# **Special consideration policy**

Should a candidate be too ill to sit an exam, suffer bereavement or other trauma or be taken ill during the exam itself, it is the candidate's responsibility to alert the centre to that effect. If taken ill during an exam, candidates must inform the invigilator who will inform the Exams Officer. If unable to sit an exam, then candidates must inform the Exams Officer.

Any special consideration claim must be supported by appropriate evidence on the day of the exam, for example a letter from the candidate's doctor.

The Exams Officer will then forward a completed special consideration form to the relevant awarding body within seven days of the exam.

#### **Candidates**

Provide appropriate evidence to support special consideration requests, where required

#### **Unauthorised materials**

# Arrangements for unauthorised materials taken into the exam room

In the examination room candidates must not have access to items other than those stated in the instructions on the question paper, the stationery list or the specification for that subject. Potential technological/web enabled sources of information such as... are not permitted... ideally, all unauthorised items are left outside of the examination room... any pencil cases taken into the examination room must be see-through... any unauthorised items that have been taken into the examination room must be placed out of reach of the candidates (and not under their desks) before the examination starts. This would normally be at the front of the examination room or a similar arrangement that enables the invigilator to control access to the items... If candidates have access to unauthorised items in the examination room this may be considered as malpractice. They could be subject to penalties in accordance with the JCQ publication Suspected Malpractice in Examinations and Assessments: Policies and Procedures - <a href="http://www.jcq.org.uk/exams-office/malpractice">http://www.jcq.org.uk/exams-office/malpractice</a>

The invigilator, prior to the examination starting, must ensure that candidates have removed their wrist watches, placing them on their desks.

A head of centre may, if he/she so wishes, prohibit candidates bringing a wrist watch into the examination room. Candidates would be required to leave their watches outside of the examination room. [ICE 18]

#### **Invigilators**

Are informed of the arrangements through training

#### **Internal exams**

#### **Exams officer**

- Briefs invigilators on conducting internal exams
- Returns candidate scripts to teaching staff for marking

#### **Invigilators**

Conduct internal exams as briefed by the EO

# Results and post-results: roles and responsibilities

#### Internal assessment

# **Head of department**

- Ensures teaching staff keep candidates' work, whether part of the moderation sample or not, secure and for the required period stated by JCQ and awarding bodies
- Ensures work is returned to candidates after the retention period or disposed of according to the requirements

# Managing results day(s)

#### Senior leaders

- Identify centre staff who will be involved in the main summer results day(s) and their role
- Ensures senior members of staff are accessible to candidates immediately after the publication
  of results so that results may be discussed and decisions made on the submission of any
  requests for post-results services and ensures candidates are informed of the periods during
  which centre staff will be available so that they may plan accordingly

#### **Exams officer**

 Works with senior leaders to ensure procedures for managing the main summer results day(s) (a results day programme) are in place

# Results day programme

Results are available 9-11 am on Thursday 13th August 2026 for A levels, and Thursday 20th August 2026 for GCSEs, both taking place in the Main Hall

#### Site staff

 Ensure the centre is open and accessible to centre staff and candidates, as required for the collection of results

#### Accessing results

#### **Head of centre**

 Ensures results are kept entirely confidential and restricted to key members of staff until the official dates and times of release of results to candidates

#### **Exams officer**

- Informs candidates in advance of when and how results will be released to them for each exam series
- Gathers information
- Accesses results from awarding bodies under restricted release of results, where this is provided by the awarding body
- Resolves any missing or incomplete results with awarding bodies, along with the Data Manager
- Issues statements of results to candidates on issue of results date
- Provides summaries of results for relevant centre staff on issue of results date

#### Post-results services

#### Head of centre

- Ensures an internal appeals procedure is available where candidates disagree with any centre
  decision not to support a clerical re-check, a review of marking, a review of moderation or an
  appeal
- Understands that if the centre has concerns about one of its component/subject cohorts, then
  requests for reviews of marking should be submitted for all candidates believed to be affected
  (candidate consent is required as marks and subject grades may be lowered, confirmed or raised)

#### **Exams officer**

- Provides information to candidates and staff on the services provided by awarding bodies and the fees charged (see also above Briefing candidates and Access to scripts, enquiries about results and appeals procedures)
- Publishes internal deadlines for requesting the services to ensure the external deadlines can be effectively met
- Provides a process to record requests for services and to collect candidate informed consent (after the publication of results) and fees where relevant
- Submits requests to awarding bodies to meet the external deadline for the particular service
- Tracks requests to conclusion and informs candidates and relevant centre staff of outcomes
- Updates centre results information, where applicable

# Teaching staff

- Meet internal deadlines to request the services and gain relevant candidate informed consent
- Identify the budget to which fees should be charged

#### **Candidates**

- Meet internal deadlines to request the services
- Provide informed consent and fees, where relevant

# **Analysis of results**

# Data, Assessment and Systems Manager

- Provides analysis of results to appropriate centre staff
- Provides results information to external organisations where required
- Undertakes the secondary school and college (key stage 4/16-18) performance tables June and September checking exercises

# **Certificates**

Certificates are provided to centres by awarding bodies after results have been confirmed.

# Issue of certificates procedure

Certificates can be collected and signed for from early December from Reception. Written permission must be given to someone collecting on behalf of the candidate. Certificates cannot be posted out.

#### **Candidates**

 May arrange for certificates to be collected on their behalf by providing the EO with written or email permission/authorisation; authorised persons must provide ID evidence on collection of certificates

# **Retention of certificates policy**

Chace Community School will retain certificates for one year after issue.

Exams review: roles and responsibilities

#### **Exams officer**

- Provides SLT with an overview of the exam year, highlighting what went well and what could be developed/improved in terms of exams management and administrative processes within the stages of the exam cycle
- Collects and evaluates feedback from staff, candidates and invigilators to inform an exams review

# Senior leaders

• Work with the EO to produce a plan to action any required improvements identified in the review

Retention of records: roles and responsibilities

#### Exams officer

- Keeps records as required by ICQ and awarding bodies for the required period
- Keeps records as required by the centre's records management policy
- Provides an exams archiving policy that identifies information held, retention period and method of disposal

# **Exams archiving policy**

Please see GDPR policy on website

**Appendix 1 - EXAMINATION PROCEDURES** - who is responsible for what?

Reviewed: Sept 25

	CATS	INTERNAL MOCKS & PPEs	GCSE	A/AS Extra time students in main exam location	ВТЕС
Providing details of exams and entries	Data Manager	Subject Leader	Subject Leader	Subject Leader	Subject Leader
Produce exam timetable / rooming and individual student timetables	Data Manager & Exams Officer	Data Manager & Exams Office	Exam Officer	Exam Officer	Exam Officer
Staffing for exams (including invigilator for Extra time students)	Data Manager	Data Manager / SLT Assessment & Exams Office	Exam Officer	Exam Officer	Exam Officer
Produce timetables for SEND & Extra time students / arrange Staffing (Readers/Scribes)	Designated MoS (Student Progress) /SENCo	Designated MoS (Student Progress) /SENCo	Designated MoS (Student Progress)/SENCo	Designated MoS (Student Progress)/SENCo	Designated MoS (Student Progress)/SENCo
Arrangements for students with sudden special consideration (eg broken bones)	Exam Officer/SENCo	Exam Officer/SENCo	Exam Officer /SENCo	Exam Officer/SENCo	Exam Officer/SENCo
Ordering / printing of papers	Data Manager	Subject Leader	Exam Officer	Exam Officer	Exam Officer
Checking deliveries / printing of papers	n/a	Subject Leader	Exam Officer	Exam Officer	Exam Officer
Correcting errors / mistakes	n/a	Subject Leader	Exam Officer	Exam Officer	Exam Officer
Deciding on seating format and producing seating plan (main exam location)	n/a	Exams Officer/ SLT Assessment	Exam Officer	Exam Officer	Exam Officer
Deciding on seating format and producing seating plan (SEND & Extra time students)	n/a	Designated MoS (Student Progress)//SENCo	Designated MoS (Student Progress)/SENCo	Designated MoS (Student Progress)//SENCo	Designated MoS (Student Progress)//SENCo
Taking papers to main exam location	n/a	Exam Officer	Exam Officer	Exam Officer	Exam Officer
Providing dictionaries to the Exam Officer the day before exams for EAL students	n/a	Exams Officer/SENCo	Exams Officer/SENCo	Exams Officer/SENCo	Exams Officer/SENCo
Collecting papers from main exam for SEND & Extra time students	n/a	Designated MoS (Student Progress)	Designated MoS (Student Progress)	Designated MoS (Student Progress)	Designated MoS (Student Progress)
Setting out exam area (main exam location)	Exam Officer	Exam Officer	Exam Officer	Exam Officer	Exam Officer
Setting out exam area (SEND & Extra time)	n/a	Designated MoS (Student Progress)	Designated MoS (Student Progress)	Designated MoS (Student Progress)	Designated MoS (Student Progress)
Lining students up outside exam area, bringing them in and starting exam	Year 7 HOL/SLT /	SLT / Subject Leader	SLT/HoL	SLT / HoL	SLT / HoL
Bring students into the exam area and starting exam	Exams Officer	OL 1 / Gubject Leadel	HoC/SLT Assessment	HoC/SLT Assessment	HoC/SLT Assessment
Completing the exam register, collecting papers at end of exam and return to exam officer	n/a	Lead Invigilator	Lead Invigilator	Lead Invigilator	Lead Invigilator

Returning papers / Registers to exam officer (SEND & Extra time)	n/a	Designated MoS (Student Progress)	Designated MoS (Student Progress)	Designated MoS (Student Progress)	Designated MoS (Student Progress)
Posting exam papers	n/a	n/a	Exam Officer	Exam Officer	Exam Officer

#### **EXAM INVIGILATION**

#### Lead Invigilators

- must ensure that examination conditions are maintained and that all invigilators follow the correct procedures, as laid out in the JCQ regulations available in the exam folder in all public exams and in the JCQ website.
- should place exam papers in accordance with the sitting plan provided, with much care and attention, in order to ensure candidates receive the correct tier, exam board and subject.
- are responsible for completing the attendance register, seating plans, starting the examination(s), writing clearly the start and finish times on the board as well as the centre number (12510).
- at the end of the time allowed, must finish the examination with the minimum of disruption to any candidates sitting other papers.
- are responsible for the collection of the completed papers, placing them in candidate order, checking that all the paperwork is correctly completed and returning them to Julia Cousins the, Examinations Officer, immediately.
- Feedback any particular need, such as replenish exam materials to the EO.

#### Invigilators

- must arrive at the Sports Hall (or any other place in the Centre where the EO suggests) at 8 am for morning examinations and 1 pm for afternoon ones.
- must ensure that examination conditions are maintained and follow the correct procedures, as laid out in the JCQ regulations available in the folder in all public exams and in the JCQ website.
- should place exam papers in accordance with the sitting plan provided, with much care and attention, in order to ensure candidates receive the correct tier, exam board, and subject.
- should locate themselves at different positions in the room. On no account should invigilators stand together or at any time have a conversation which is not directly related to the examination invigilation.
- should not make any announcements to candidates once the examination has started, unless the Lead Invigilator gives permission.
- should visual check when collecting papers that candidates have completed their front page accordingly.
- should take due care when dismissing candidates from the exam room when other exams are continuing to prevent disturbance to those still working.
- should be conscious of the noise their shoes make when walking and show consideration to the candidates.
- timetabled to invigilate during Break, should ensure that you arrive halfway through, so that those invigilating before you can have some break time.
- should put their attention on the candidates for the duration of your invigilation period without doing any other task than invigilate.
- Feedback any particular need, such as replenish exam materials to the EO.

The EO or Lead Invigilator will release you when your replacement has arrived.

Subject teachers linked with the subject to be examined won't be allowed to enter the examination room under any circumstances.

No teacher should be entering the examination room with the exemption of SLT and for as long as they are not linked with the exam.

Blank examination papers will be released only by the EO only, 24 hrs after the published date of the exam.

#### **EXAM ENTRY**

At Chace all students are entered for examinations if they satisfy the exam requirements regarding completion of coursework, and/or controlled assessments, and adequate attendance to ensure coverage of the syllabus. Once students are entered for exams they are expected to complete the course and attend all necessary exams.

If a 6th form student wishes to retake an exam the student will be expected to pay the full entry fee. No refund is given.

The Exams Officer (EO) will follow the Subject Leader instructions and proceed with their respective entries of the course, including level and Tier where applicable. The EO will then provide the Subject Leader with a hard copy reflecting the instruction and this will need to be signed with clear instructions for amendments if there is some.

If candidates need to be withdrawn, the EO will take note of this and will wait for SLT's (Raising Standards) confirmation to proceed with the removal of the candidate/s.

The EO will then produce a new list for the Subject Leader to sign.

After this process, If entries are submitted late or erroneously, the pertinent department/s will be charged for whichever extra cost their actions or inactions bring.